Proofpoint Compliance Gateway
Reconciliation, Auditing and Control for Today’s Compliance Needs

Stay compliant and in control with Proofpoint Compliance Gateway. Ensure that captured communications are received by downstream services such as repositories and supervision tools.

Financial services firms must retain their communications to comply with ever-evolving regulations. You also need to be able to prove to regulators that your captured content is received by your data store. This can be difficult. With complex compliance and archiving operations, gaps can emerge between content capture and downstream delivery. If you can’t remediate them, you may face fines and other penalties.

Compliance Gateway gives you control and keeps you compliant. It acts as a central hub to filter and route content to your archives. And you can be confident that your content is properly retained, with a built-in feedback loop to confirm that messages were successfully processed.

Compliance Gateway can process any type of content that is delivered through SMTP. This includes email, instant messages, social media and more. Before delivery, it stores a copy of the data and stamps it with a unique identifier. After sending the data to a supported downstream archive, supervision or analytics system, Compliance Gateway waits for confirmation that the data has been successfully archived. If it’s not confirmed, it resends the data. Our upstream reconciliation option increases the scope of your reconciliation efforts by making sure that captured content is successfully received by Compliance Gateway.

KEY BENEFITS
• Verify that captured communications are received by downstream services such as an archive
• Simplify audits with reporting that proves compliance
• Centrally control which content goes to each archive, supervision and analytics system
• Compatible with any service that can receive SMTP and provide ingestion reports meeting Proofpoint specifications
With Compliance Gateway, you can reconcile all data. You get proof that messages are defensibly delivered to downstream services, which simplifies your audit process. You can also reduce compliance risks with:

- **Automated one-to-one reconciliation reporting.** Get summaries and detailed reports. See what has been received by each downstream provider to give you evidence of complete capture.
- **Automated and manual message resubmission.** Retry messages that were not ingested on the first attempt.

- **Options for filtering and routing content to multiple destinations.** Apply complex rules to identify which messages should go to each archive, supervision or analytics system. Rules can be based upon several factors: recipients listed in the core message, journal report content and extended recipient data (resolving addresses to LDAP user information).
- **Normalization of mail flow.** Compensate for under-performing systems. Spread peak mail flow over a wider period to align with downstream system capacity.

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