

PROOFPOINT COMPLIANCE GATEWAY

NEXT-GENERATION MESSAGE CAPTURE, AUDITING AND CONTROL FOR TODAY'S COMPLIANCE NEEDS

KEY BENEFITS

- Ensure all content is retained to comply with SEC, FINRA and other rules
- Simplify audits with reporting that proves compliance
- Centrally control which content goes to each archive, supervision and analytics system
 - Supported archives: Proofpoint Enterprise Archive and Micro Focus Consolidated Archive

Proofpoint Compliance Gateway helps identify content that should be retained and ensures that it is added to archive and supervision systems.

Financial services firms must comply with ever-evolving rules that require them to retain their communications. Proving to regulators that your capture systems archive everything can be just as difficult. Complex archiving systems frequently fail. Without a way to remediate them, you face fines and other penalties. Compliance Gateway keeps you compliant and in control. It acts as central hub to filter and route content to your archives. And it ensures that your content properly retained with a built-in feedback loop to confirm that messages were successfully processed.

Compliance Gateway can process any content type delivered through SMTP. That includes email, instant messages, social media and more. Before delivery, it stores a copy of the data and stamps it with a unique identifier. After sending the data to a supported downstream archive, supervision or analytics systems, Compliance Gateway waits for confirmation that the data has been successfully archived. If not, it resends the data.

Compliance Gateway helps reconcile all archived data. You get proof that messages are under surveillance and defensibly archived. It all adds up to a simplified audit process.

With Compliance Gateway, you can reduce compliance risks with:

- **Automated one-to-one reconciliation reporting.** Get summary and detail-level reporting. See what has been captured by each downstream provider to give you evidence of complete capture.
- **Automated and manual message resubmission.** Retry messages that were not ingested on the first attempt.
- **Filter and route content to multiple destinations.** Apply complex rules to identify which messages should go to each archive, supervision or analytics system. Rules can be based upon several factors: recipients listed in the core message, journal report content, and extended recipient data (resolving addresses to LDAP user information).
- **Normalization of mail flow.** Compensate for under-performing systems. Spread peak mail flow over a wider period to align with downstream system capacity.

ABOUT PROOFPOINT

Proofpoint, Inc. (NASDAQ:PFPT), a next-generation cybersecurity company, enables organizations to protect the way their people work today from advanced threats and compliance risks. Proofpoint helps cybersecurity professionals protect their users from the advanced attacks that target them (via email, mobile apps, and social media), protect the critical information people create, and equip their teams with the right intelligence and tools to respond quickly when things go wrong. Leading organizations of all sizes, including over 50 percent of the Fortune 100, rely on Proofpoint solutions, which are built for today's mobile and social-enabled IT environments and leverage both the power of the cloud and a big-data-driven analytics platform to combat modern advanced threats.

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